SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ldress of Reporting ack Terry D	g Person [*]	2. Date of Ever Requiring State (Month/Day/Ye 10/11/2017	ement	3. Issuer Name and Ticker or Trading Symbol ORTHOPEDIATRICS CORP [KIDS]						
(Last) (First) (Middle) C/O ORTHOPEDIATRICS CORP. 2850 FRONTIER DRIVE					4. Relationship of Reporting Pers (Check all applicable) X Director Officer (give title below)	ion(s) to Issuer 10% Owner Other (specify below)		5. If Amendment, Date of Original Filed (Month/Day/Year) 10/11/2017 6. Individual or Joint/Group Filing (Check			
(Street) WARSAW (City)	IN (State)	Warsaw (Zip)						Appli	cable Line) Form filed by	y One Reporting Person y More than One	
		(Zip)	Tabla I. Na	n Deviverti	ive Securities Beneficial						
				Derivative	Amount of Securities leneficially Owned (Instr. 4) Securities Beneficially nts, options, convertible	Form: Direct (D) or Indirect (I) (Instr. 5) Owned		4. Nature of Indirect Beneficial Ownership (Instr. 5)			
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Secu Underlying Derivative Secur	rities	4. Conve or Exe	rcise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)		
Stock Option	ck Option (Right to Buy)		(1)	03/01/2020 ⁽²	²⁾ Common Stock	5,357	30.97		D		
Stock Option	(Right to Buy)		(1)	08/03/2021 ⁽²	²⁾ Common Stock	670	30.97		D		
Stock Option (Right to Buy)		(1)	07/09/2019	Common Stock	134 ⁽³⁾	27.	61	I	See footnote ⁽⁴⁾		
Stock Option (Right to Buy)		(1)	09/02/2020 ⁽²	²⁾ Common Stock	670	30.	97	D			

Explanation of Responses:

1. The stock option is fully vested and immediately exercisable.

2. The expiration dates listed in the original Form 3 were incorrect and have been modified. The stock option is fully vested and immediately exercisable.

3. The amount listed in the original Form 3 (1,340) was incorrect.

4. These shares of Common Stock and this warrant are held by the spouse of the reporting person.

Remarks:

/s/ Daniel J. Gerritzen,

Attorney-in-Fact

03/01/2018

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.