SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Bailey David R			2. Date of Event Requiring Stater (Month/Day/Yea 10/11/2017	ment	3. Issuer Name and Ticker or Trading Symbol ORTHOPEDIATRICS CORP [KIDS]				
(Last) (First) (Middle) C/O ORTHOPEDIATRICS CORP. 2850 FRONTIER DRIVE (Street) WARSAW IN 46582					4. Relationship of Reporting Person(s) to Issu (Check all applicable) Director 10% Own X Officer (give title Other (sp below) below) Executive Vice President		cify 6. In App	f Amendment, Date of Original Filed onth/Day/Year) ndividual or Joint/Group Filing (Check olicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	-						
			Table I - Nor	n-Derivat	ive Securities Beneficial	ly Owned			
1. Title of Security (Instr. 4)					. Amount of Securities eneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect (Instr. 5)	cṫ (D) (Instr	ture of Indirect Beneficial Ownership . 5)	
Common Stock					135,148	D			
					e Securities Beneficially nts, options, convertible		s)		
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)			(1)	07/19/2019	Common Stock	536	27.61	D	
Stock Option (Right to Buy)			(1)	07/22/2020	Common Stock	4,824	30.97	D	
Stock Option (Right to Buy)			(1)	09/01/2020	Common Stock	402	30.97	D	
Stock Option (Right to Buy)			(1)	08/02/2021	Common Stock	402	30.97	D	
Stock Option (Right to Buy)			(1)	08/23/2022	Common Stock	402	30.97	D	
Stock Option (Right to Buy)			(1)	09/10/2023	Common Stock	402	30.97	D	

Explanation of Responses:

1. The stock option is fully vested and immediately exercisable.

Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

/s/ Daniel J. Gerritzen, Attorney-in-Fact

10/11/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

The undersigned hereby constitutes and appoints each of Fred L. Hite and Daniel J. Gerritzen, or either of them signing singly, and with full power of substitution, the undersigned's true and lawful attorney-in-fact to:

- (i) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of OrthoPediatrics Corp. (the "<u>Company</u>"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended (the "<u>Exchange Act</u>"), and the rules promulgated thereunder;
- (ii) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5, complete and execute any amendment or amendments thereto, and timely file such forms with the U.S. Securities and Exchange Commission and any stock exchange or similar authority; and
- (iii) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of or legally required by the undersigned, it being understood that the documents executed by such attorneyin-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

* * * * *

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 11th day of October, 2017.

<u>/s/ David R. Bailey</u> David R. Bailey